

# Nehru Gram Bharati

(Deemed to be University)

Kotwa-Jamunipur, Dubawal, Prayagraj, [U.P.]-221505



## VOLUME- V

## Ordinance XXXVIII to XLII

**ORDINANCE XXXVIII:  
THE ORGANISATION AND CONDUCT OF RESEARCH**

**ORDINANCE**

1. (a) Subject to the provisions of sub-clause (b), Research Degree Programmes may be offered by the Departments under the Faculties and by such institutions maintained by the University and admitted to its privileges, and such Schools, as are eligible under the provisions of the Ordinances and Regulations governing them to conduct research degree programmes.
- (b) Such academic units, i.e., such Departments under the Faculties of the University, such institutions maintained by or admitted to the privileges of the University, and such Schools, eligible to offer research degree programmes under the provisions of sub-clause (a), as were conducting research degree programmes on the date immediately before the commencement of this Ordinance, shall continue to conduct the same, subject to the power of the Academic Council to regulate, and also in appropriate cases to discontinue, the conduct of such programmes by any such academic unit.
- (c) An academic unit, as specified in sub-clause (b), that was eligible to offer Research Degree Programmes but not conducting the same on the date immediately before the commencement of this Ordinance, shall not conduct such programmes, except with the approval of the Academic Council.
- (d) The approval of the Academic Council referred to in sub-clause (c) shall be granted in accordance with the provisions laid down by it by Regulations.

Research degree programmes shall be instituted and governed by Ordinances, and the detailed provisions, including inter alia, the qualifications for the admission of students to the same, the qualifications of the teachers entitled to guide the research work, as supervisor or co-supervisors, of such students, the standards of research work to be conducted by such students for the Research Degree Programmes to which they have been admitted, and other relevant matters and aspects in respect thereof, may be specified by the Academic Council by Regulations from time to time.

## **ORDINANCE XXXIX: THE DOCTOR OF PHILOSOPHY (Ph.D.) PROGRAMME**

(Amended in accordance with UGC Minimum Standards and Procedures for the Award of Ph.D. Degree Regulations 2016 and its sub-segment amendment dated 27.08.2018 (1<sup>st</sup> Amendment) and amendment dated 16.10.2018 (2<sup>nd</sup> Amendment). In Supersession of the UGC (Minimum standards and procedure for award of M.Phil/Ph.D. Degree regulation 2009) notified in the gazette of India vide no. 28, part-III section-4 for the week July- 11, July-17, 2009 AND ITS COMPLIANCE OF ITS CIRCULAR no. f.1-2/2009 (EC/PS) V(I) Vol. II- In exercise of the powers conferred by clause (f) and (g) of sub-section (1) of section 26 of the University Grants Commission Act, 1956 (3 of 1956). Nehru Gram Bharati (Deemed to be University) adopts the following revised regulations with immediate effect. Abbreviations used in this document are defined as below:

**DRC:** Doctoral Research Committee – It is regulated by concerned Faculty Board, A Doctoral Research Committee will comprise of Head of the Department as a Chairman and all the Ph.D. Supervisors in the Department as its members.

**PSP:** Pre-Thesis Submission Presentation – An event of presenting the draft thesis by a Ph.D. candidate to DRC and other interested Faculty members on invitation after the acceptance of the draft thesis to conform basic standards of a thesis – content, getup and Plagiarism Report from the IPR Cell.

**PSP Check-List:** A Check-List used for obtaining the response of a Ph.D. candidate for his/her PSP to test whether Draft Thesis has acceptable getup and is free from Plagiarism as checked by IPR (Intellectual Property Rights) Cell of the Deemed to be University. This alongwith standard "Declaration by the Candidate" and "Certificate of the Supervisor" to be included in the Draft Thesis are available on [www.ngbv.ac.in](http://www.ngbv.ac.in) for free access by the Ph.D. candidates.

**Administrative Check-List:** A Check-List maintained by the Deemed to be University in a Ph.D. Candidate's individual file for compliance of all the requirements for final Viva-Voce of the candidate in respect of his Six Monthly Progress Reports, Research Publications, Fees, Tenure for completion of the Ph.D. work etc.

**RDC:** Research Degree Committee / Research Advisory Committee – A committee as defined in Para 12 in this document responsible for overall functioning right from admission upto the grant of the Ph.D. thesis to a candidate in accordance with this Ordinance. This will be regulated by the Academic Council.

**Plagiarism:** An act of stealing someone else's work and attempting to "pass it off" as your own without acknowledgement / referencing.

**ARIAC:** Annual Research Integrity Assessment Committee – A Committee as

notified by the Registrar to get in person presentation by each Ph.D. Scholar upto the stage of reaching PSP, once every year for recording on a duly notified Check-List filled in by the Candidate its remarks in regard to the integrity of the Research work as pursue so far and to be corrected in future.

## **2. ELIGIBILITY FOR ADMISSION:**

- (a) An applicant (hereinafter in this ordinance referred to as 'applicant'5) for admission to the academic programme leading to the Awards Doctor of Philosophy (Ph.D.) degree of the Nehru Gram Bharati (Deemed to be University) shall not be considered for such admission, unless he/she:
  - (i) Holds, in the concerned subject or in a cognate or an allied/interdisciplinary subject, the Master's Degree with 55 percent marks or is equivalent grade 'B' in UGC 7 point scale (or an equivalent grade in a point scale wherever a grading system is followed).
  - (ii) A relaxation of 5% or equivalent grade point in the minimum eligibility shall be applicable to all the applicant belonging to the categories of SC/ST/EWS/OBC (Non-Creamy Layer) and Differently abled persons (with 40% Disability) or categories decided by UGC or those who had obtained the Master's Degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying mark without including the grace marks procedure.
  - (iii) An applicant in employment shall not be considered for admission to the Ph.D. programme, except upon submitting a 'No Objection' Certificate of his/her employer.

Provided that any question, as to whether the subject professed by the applicant is a cognate or an allied subject, shall be referred to the Academic Council for decision.

- (iv) Has submitted an application, on the prescribed form approved by the academic council and according to the regulation laid down.

Provided that an applicant in employment shall be subject to the provisions of sub-clause (iii).

- (v) Has qualified in a preliminary test of assessment (hereafter in this Ordinance referred to as "the eligibility test"), organized by the Deemed to be University for the purpose of admission to the Ph.D. programme.

## **2. PROCEDURE FOR ADMISSION:**

The application for the eligibility/entrance test shall be submitted to the office (as notified in

the advertisement) on a prescribed form approved by the Academic Council.

Eligibility/Entrance test shall be conducted by the Deemed to be University on behalf of the Deemed to be University not more than twice a year on the date to be announced by the Deemed to be University. The eligibility test shall be designed to test the knowledge of the subject concerned at Post Graduate level.

The syllabus for the entrance test shall consist of:

- (a) General Awareness/ General Studies (30%)
- (b) Research Methodology (30%)
- (c) Subject Specific (40%)

An Entrance Test shall be qualifying with qualifying marks as 50%. A relaxation of 5% or equivalent grade point in the minimum eligibility shall be applicable to all the applicant belonging to the categories of SC/ST/EWS/OBC (Non-Creamy Layer) and Differently abled (with 40% Disability) persons or categories. The entrance test shall be conducted at the centre(s) notified in advance by the Deemed to be University.

However, the marks prescribed for the written test and Interview are 100 each, out of which 70% of the marks secured by the candidate in the written test and 30% of the marks in the Interview/Viva-voce shall be added for preparing the final merit list for selection to the Ph.D. programme.

The Interview/Viva-voce shall also consider the following aspects:

- (a) Research Aptitude and
- (b) Orientation of the Candidate towards research.
- (c) 05 Marks for NET and 10 Marks for JRF will be awarded to the candidate.

### **3. PRE Ph.D. COURSE:**

- (a) Course work of at least one semester shall be the pre-requisite for the Ph.D. Degree which will include a course as approved for the subject concerned. The Research Scholar's 75% attendance is mandatory for the Course Work. However, a relaxation of 15% in the attendance may be granted by the Hon'ble Vice Chancellor for the justified reasons.
- (b) All applicants admitted to the Ph.D. programme shall fulfill the minimum requirement of residence of 30 months in the recognized centre by the Deemed to be University.

### **4. WORK VISIT:**

- (a) Provided that where the research of the applicant requires to visit and work in other Institutes/National/International Laboratories and research related geographical areas under some National/International Research Programmes/Libraries, he/she shall seek permission, duly recommended by the supervisor, and forwarded by the Head of the Department for this purpose and approved by the Deemed to be University Administration.

- (b) If the time period requested for such outside work is for less than three months, the permission shall be granted by the Deemed to be University administration.
- (c) For leave of absence for the requisite period in order to fulfill the requirement of residence. A teacher or a Non Teaching staff of the Deemed to be University shall be required to submit such 'No Objection Certificate', his/her application shall require the endorsement of the Head of his/her Department/DRC and the application shall be sent through the proper channel.

## **5. QUALIFICATIONS AND APPOINTMENT OF THE SUPERVISOR AND CO-SUPERVISORS:**

- (a) No person shall be recommended for appointment as the Supervisor of a candidate if he/she does not has the expertise in, or knowledge of, the relevant subject or area of research and holds a Ph.D./D.Phil. degree in the subject and has been teaching and doing research in the Deemed to be University.
- (b) The regular teacher(s) may be appointed as Research Supervisor having knowledge in the concerned research domain.
- (c) Any regular Professor of the Deemed to be University with at least five research publications in referred journals and any regular Associate/Assistant Professor of the Deemed to be University with a Ph.D. degree and at least two research publications in referred journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the Deemed to be University may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- (d) Only a full time regular teacher of the concerned Deemed to be University can act as a supervisor. The external supervisors are not allowed. However, Co-supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Degree Committee/Research Advisory Committee (RDC/RAC).
- (e) The allocation of Research Supervisor for a selected research scholar shall be decided by the Departmental Research Committee of the concerned Department depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- (f) In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.
- (g) A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) Ph.D. scholars. An Associate Professor as Research

Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars.

Normally in each academic session, two Research Scholars shall be allotted to a Research Supervisor but in special circumstances, more Research Scholars, up to the limit prescribed above may be allotted. Subject to vacancy of seats this will be executed with the approval of the DRC and the Vice Chancellor.

- (h) In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar, however, will have to give due credit to the parent guide and the institution for the part of research already done.
- (i) In case of Long leave/absence of more than six months-
  - if the supervisor proceeds on a long leave/absence for more than six months:
    - (a) Where, there is a supervisor along with a co-supervisor, then the co-supervisor shall act as Supervisor for the remaining period.
    - (b) In case of only supervisor, of the research scholar, a new supervisor may be appointed by the vice chancellor on the recommendation of the DRC.

In the above cases, sub-clause (a) & (b), the No-Objection-Certificate (NOC) of the existing supervisor shall not be mandatory.

In case a Research Supervisor, who has acquired substantive appointment at any other institution by way of confirmation on a substantive post, he/she shall cease to be a research supervisor in the Deemed to be University and subsequently forfeit all his/her claims.

- (j) Change/Re-allocation of the Supervisor-
  - (a) In case the condition do not permit further to continue the further research work under the allotted Supervisor including the unwillingness or compulsion faced by the research scholar, in course, the whole matter will be judiciously reviewed by the DRC and shall recommend the re-allocation/change of supervisor or status quo.
  - (b) In case the supervisor declines to supervise the research scholar, then a suitable supervisor may be appointed by the Vice Chancellor on recommendation of the Departmental Research Committee (DRC).
  - (c) In case the supervisor resigns/death, a new supervisor may be appointed by the Vice Chancellor on recommendation of the Departmental Research Committee (DRC).
- (k) No person shall be allowed to supervise one's close relation. The term close relation includes one's spouse, children, sister, brother, grand children, nephew, niece, grand-niece, grand nephew, uncle, aunt, first cousin, son-in-law, daughter-in-law and nephew, niece, grand-nephew, grand-niece of supervisor's wife and parents of the supervisor.
- (l) A person having less than two years to his/her retirement shall not be allotted as new research scholar unless, a co-supervisor has already been appointed.

## 6. COURSE WORK:

The Course work shall consist of the following four papers of 4 credits each:

<b>Sr. no.</b>	<b>Paper</b>	<b>Credit</b>	<b>Unit</b>
<b><u>1</u></b>	<b><u>2</u></b>	<b><u>3</u></b>	<b><u>4</u></b>
1.	Research Methodology	04	04
2.	Computer Application	04	04
3.	a. Gram Pravas Project & Viva b. Research Publications and Ethics	02 02	04
4.	<b>Subject Paper:</b> A subject paper based on NGB (DU) Thrust Areas of Research" or based on UGC NET Exam Syllabus or Synopsis of three proposed Ph.D. topics evaluated by concerned Department as decided for final evaluation.	04	04

Core Course shall be mandatory for all students and the total number of credits for the core and elective courses shall be 16 with the above cited pattern.

### 7.1 Examination and Evaluation of the course-work:

- (a) Students shall compulsorily attend the course work, failing which they will not be allowed to appear for the end semester examination. In case of students who could not attend the course work due to medical reason or under extraordinary circumstance or have less attendance a separate test shall be conducted after attending the intensive course work with the permission of the Dean of the faculty on recommendation of the Head of the Department. A prescribed fee by the Deemed to be University will be charged from such students.
- (b) There shall be one End semester examination of 2 hours duration in each course covering the entire syllabus prescribed for the course at the end of the semester only. The End semester examination shall be normally a written semester based examination. The End semester examination and evaluation shall be conducted by the Deemed to be University.
- (c) A candidate who has less than 75% attendance shall not be permitted to sit in examination however, it shall be open to the V.C. to grant exemption to a candidate who has failed to obtain the prescribed 75% attendance for valid reasons on payment of prescribed fee and such exemptions shall not under any circumstances be granted for attendance below 65%
- (d) The Director Research Centre shall announce the names of all students who will not be eligible to take the examinations.

### 7.2 Marks and Grading of the course-work:

- (a) A candidate has to secure a minimum of 50 percent of marks or Letter Grade 'B' in six point scale in End semester Examination in the course to pass in that course. A candidate who has not secured a minimum of 50 percent of marks or Letter Grade 'B' in six point scale in a course shall be deemed to have failed in that course. A failed student shall be



allowed to repeat the semester examinations for a maximum of one time and he/she has to pass the Pre Ph.D. course before submission of the Ph.D. thesis. The sessional marks obtained by the student shall be carried over for declaring the result.

- (b) The percentage of marks obtained by a student in a course will be indicated by a grade point and a letter grade. A six (6) point scale shall be used for the evaluation of the performance of the student as given below:

<b>MARKS</b>	<b>GRADE POINT</b>	<b>LETTER GRADE</b>
<b>75-100</b>	<b>5.50-6.00</b>	<b>O</b>
<b>65-74</b>	<b>4.50-5.49</b>	<b>A+</b>
<b>60-64</b>	<b>4.00-4.49</b>	<b>A</b>
<b>55-59</b>	<b>3.50-3.99</b>	<b>B+</b>
<b>50-54</b>	<b>3.00-3.49</b>	<b>B</b>
<b>BELOW 50%</b>	<b>0.00-2.99</b>	<b>F</b>

- (c) The system of evaluation shall be transparent and students shall have the right to examine their marked answer scripts.

### **7.3 Process for Approval of Research Proposal:**

- (a) After completion of Course Work, each research scholar shall submit his research proposal as approved by the allocated supervisors(s) to Head of the Department concerned for consideration of the Doctoral Research Committee (DRC)
- (b) The DRC shall examine the research proposal/synopsis and suggest suitable modification/alterations, if any. The student shall incorporate suggested changes and resubmit the research proposal to the satisfaction of the DRC.
- (c) The DRC shall submit the research proposal to the RDC for approval.
- (d) If at any stage, the student wishes to modify the research plan and/or change the title of the thesis approved earlier, supervisor shall arrange the proposal to be put before the DRC and the student shall make a presentation before it with the details of the proposed modifications and justification. The DRC shall make appropriate recommendations for approval of this could be allowed within six months of registration.

### **7.4 Performance Monitoring of Research Work:**

- (a) Progress of the research work of all research scholars in the department shall be reviewed at the end of each semester by respective DRC.
- (b) Each research scholar shall appear before the Research Advisory Committee/Research Degree Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. Six monthly progress reports shall be submitted by the Research Scholar to the Director (Research) duly forwarded by the DRC.
- (c) In case, the prescribed progress report (s) of specific period of a research scholar is not submitted on time and void is created, the head of the Department with commensurate

authorization of the DRC may sign and forward the report(s) as a special case.

## **7. THESIS PRE SUBMISSION PRESENTATION (PSP):**

- (a) DRC shall assess the work through a pre-thesis submission open presentation of the draft thesis as per PSP Check-List. The research scholar will submit the Draft Thesis after completing the Administrative Check-List maintained in his/her file by the Research Centre. Dir. (Research Centre) shall forward the draft thesis with duly filled in PSP Check-List to the Incharge IPR Cell for Plagiarism Check-up etc. and return it back to the Dir. (Research Centre). On its acceptance by DRC for being satisfied about the quality of the work for submission as a Ph.D. thesis and on successful PSP by the candidate, the DRC Chairperson shall forward recommendations to RDC for final submission of thesis with a copy to Dir. (Research Centre).
- (b) The Pre-thesis Submission Presentation (PSP) event notice shall be adequately circulated by the Research Centre to the candidate, Supervisor, DRC so as to enable interested faculty members and students to attend it.

## **8. SUBMISSION OF THE THESIS:**

- (a) Ph.D. programme shall be for a minimum duration of three years, including course work and a maximum duration of six years.
- (b) The candidate shall be required to complete his/her research work and can submit the thesis after the completion of three years from the date of registration.
- (c) After the thesis pre submission presentation, the research scholar has to submit his/her thesis within the period of 90 days from the date of PSP, to the Director Research, failing to which, the pre submission presentation would stand cancelled and the research scholar has to re-appear for the thesis pre submission presentation, duly after depositing the PSP fees.

Provided that, the fees levied for the second pre submission presentation could be waived-off, only after justifiable reason to be in recording, by the Vice-Chancellor after considering the recommendation of the DRC.

- (d) The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days

Provided that the Research Advisory Committee/Research Degree Committee may, after considering the recommendation of the DRC in a very special case and for reasons to be recorded, grant further extension, of not more than one year.

Provided further that in case the candidate fails to submit the thesis within the period permitted for the submission of the thesis, including the periods of the extension thereof his/her admission to the Ph.D. programme shall be liable to be terminated and he/she shall, upon such termination, forfeit all the fees and other dues paid by him/her for and during such admission.

However, looking into the work of the candidate and recommendation of the supervisor the Research Advisory Committee/Research Degree Committee may consider the case of re-registration and shall forward such applications to the Vice-Chancellor through the Dean of the faculty for the decision. After getting the permission of re-registration the candidate shall have to pay admission and other fees as determined by the Deemed to be University and may submit the thesis within one year of re-registration. The research scholar shall have to pay the current prescribed current yearly fee and the re-registration fee of Rs. 5000/-. The re-registration shall be initiated only within the three months from the end of seven years.

- (e) After the research work of the candidate is complete he/she shall submit, in conformity, six copies of his/her thesis along with five copies of the summary, in not more than 2500 words, and two softcopies of the thesis.
- (f) The candidate shall indicate in the thesis as to how far it embodies the result of his/her own research of observations and in what respect his/her investigations appear to him/her to advance the study of his/her topic and subject.
- (g) The candidate may also enclose with the thesis, the printed contribution to the advancement of his/her topic or related to the subject that he/she may have published independently or co-jointly. In general, atleast one Research Paper in a Journal notified in CARE-LIST of UGC and one more either in a CARE-LIST Journal or a Journal in a refereed Journal and/or a Research Paper as published in any proceeding of an International Conference related to the topic of the Ph.D. thesis with due evidence by way of Certificate of Presentation by the candidate are expected to be enclosed as a appendix of draft thesis. However, for any valid reason recorded on the file of the individual candidate, Vice Chancellor will have the discretion to relax this condition as a special case.
- (h) The candidate shall submit all six copies of the thesis at the Research Centre and the same shall be forwarded with the endorsement of the concerned Head of the Department through the Dean of the Faculty to the Registrar, who shall dispatch one copy each of the thesis, synopsis and summary of the thesis to the three examiners who have consented to evaluate the same on the following points.
  - (i) That it is a piece of research work characterized either by the discovery of facts or by a fresh approach towards interpretation of facts or theories arrived at so far.
  - (ii) That it evinces the capacity of the candidate for critical examination and judgment;
  - (iii) That it is satisfactory in terms of its literary presentation; and
  - (iv) That it is suitable for publication.

## **9. PANEL OF EXAMINERS FOR THESIS EXAMINATION:**

- (a) A proposed panel of 5 examiners (out of which 02 Examiner shall be out of State) shall be submitted by the research supervisor and similar list of panel of experts shall be submitted by the HOD. Out of these lists, Hob'le Vice Chancellor will tick two examiners - anyone within the State and anyone out of State whom the thesis will be sent for examination. The Supervisor will be the third examiner.
- (b) The examiner should be eminent experts in the subject areas of the research scholar's thesis with proven record.

## **10. APPOINTMENT OF EXAMINERS FOR VIVA-VOCE:**

- (a) The Vice-Chancellor shall appoint the examiner for viva-voce examination from amongst the examiners who have evaluated the thesis of the candidate. In exceptional cases the Vice-Chancellor may appoint another examiner on the recommendation of DRC which shall be reported to the RDC/RAC, in case of any dispute and subsequent unwillingness of the supervisor or examiner to participate/attend the final viva-voce, the Head of the concerned Department may proceed with the formalities for the Pre Submission Presentation and viva-voce with prior approval of the Vice-Chancellor.
- (b) The viva voce examination shall be held by the External Examiner and the Supervisor after pursuing the reports of the other examiners of the thesis as received in form of enclosed "Form A". The viva voce examiners shall conduct the oral assessment of the candidate as per "Form B" in order to ascertain to the extent to which he/she is well acquainted with the literature on the subject, evinces a good understanding of the topic of his/her research as well as originality in his/her investigations and conclusions, and has an appropriate comprehension of the broad field of his/her study, and to address other issues relevant in that regard, make a clear recommendation in their report, on the specified form (Form B).
- (c) An open viva-voce test shall be held at the University Research Centre. The viva-voce shall be arranged by the Head of the Department with intimation to all concerned. The candidate shall present summary of his/her thesis and the work done by him/her before an audience consisting of the one External Examiner, Supervisor, teachers, research scholars and post-graduate students of the subject. However, only the examiners will question the candidate, fill up the Form B and submitting to Dir. (Research Centre).
- (d) After the completion of the successful viva-voce examination the result of the candidate shall be conveyed by the concerned Head of the Department to the Director (Research Centre), and will be forwarded to the Registrar, who shall notify the result of the candidate with effect from the date of viva-voce examination. A provisional certificate of the award of the degree may be given to the candidate on his/her written request to the Registrar/Dir. (Research Centre) after obtaining approval of the RDC.

## **11. AWARD OF THE Ph. D. DEGREE:**

- (a) Following the successful completion of the evaluation process and announcement of the award of Ph.D., the Deemed to be University, shall submit a soft copy of the Ph.D. thesis to the UGC/Shodhganga within a period of thirty days, for hosting the same in INFLIBNET accessible to all Institution/Universities.
- (b) Along with the Degree, the Deemed to be University shall issue a certificate certifying to the effect that the Degree has been awarded in accordance with the provisions of the UGC (Minimum Standards and Procedure for award of the Ph.D. Degree), Regulation, as prevalent.

## **12 RESEARCH DEGREE COMMITTEE/ RESEARCH ADVISORY COMMITTEE CONSTITUTION AND ITS FUNCTIONS:**

There shall be a Research Advisory Committee/Research Degree Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each Ph.D. scholar. The constitution of the committee shall be as mentioned below:

(i) Vice-Chancellor	–Chairperson
(ii) Pro-Vice Chancellor	–Vice Chairperson
(iii) All concerned Deans of Deemed to be University	–Member
(iv) All concerned Head of respective Departments of Deemed to be University	–Member
(v) Director, IQAC	–Member
(vi) Director, Research Centre	–Convener

This Committee shall have the following functions:

- (i) To review the research proposal and finalize the topic of research.
- (ii) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- (iii) To periodically review and assist in the progress of the research work of the research scholars including through meetings of "Annual Research Integrity Assessment Committee (ARIAC)".
- (iv) To appoint a Co-Supervisor.
- (v) A research scholar apart from submitting six monthly progress reports to DRC shall appear before the ARIAC jointly with Research Degree Committee (RDC) once every year to make a presentation of the progress of his/her work for evaluation and further timely guidance. The six monthly progress reports and Check-List finalized by ARIAC shall be submitted by the Research Degree Committee (RDC) to the Head of the Department with a copy to the research scholar.
- (vi) In case the progress of the research scholar is unsatisfactory, the Research Degree Committee (RDC) shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Degree Committee, may recommend to the Department with specific reasons for cancellation of the registration of the research scholars.
- (vii) The Research Degree Committee (RDC) shall regulate and oversee the formulation and conduct of Doctoral & Post-Doctoral instructions and all research Programs of the University, in accordance with directions of the Academic Council.
- (viii) The Research Degree Committee (RDC) shall consider and may approve recommendations of the Board of Studies and Departmental Research Committee (DRC) on all academic matter.
- (ix) On behalf of the Research Degree Committee (RDC), the Chairperson may act on the recommendations given by examiner on Ph.D. thesis and take necessary decisions based on the relevant Ordinances or Regulations made under the Statutes.
- (x) The minutes of the meeting of the Research Degree Committee (RDC) shall be placed before the Academic Council for consideration.
- (xi) The Research Degree Committee (RDC) may make recommendations to the Academic Council on all matters within the scope of its functions.
- (xii) The quorum of the Research Degree Committee (RDC) shall be not less than two – third of its members.

**13. DEPOSITORY WITH INFLIBNET:**

- (i) Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET, for hosting the same on SHODH GANGA so as to make it accessible to all Institutions/Colleges.
- (ii) Prior to the actual award of the degree, the degree-awarding Institution shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, as prevalent.

**(FORM – A)**

**NEHRU GRAM BHARATI (DEEMED TO BE UNIVERSITY)**

**REPORT OF THE EXAMINER OF THE THESIS OF THE CANDIDATE FOR THE Ph.D. DEGREE**

1. Name of the Research Scholar

.....

2. The Department of .....under the School/Faculty of.....

.....

3. Title of the thesis submitted by the Research Scholar

.....

.....

**PART – I**

I have examined the thesis for the degree of Doctor of Philosophy submitted by the candidate named above on the topic set out above, and have to report:

[Strike out two from amongst (A), (B), and (C)]

(A) That the thesis submitted by the candidate–

- (i) Is a piece of research work characterized by the discovery of facts or by a fresh approach towards interpretation of facts or theories;
- (ii) Evinces the capacity of the candidate for critical examination and judgment;
- (iii) is satisfactory so far as its literary presentation is concerned; and
- (iv) is suitable for publication as a thesis approved for the degree of Doctor of Philosophy of the Nehru Gram Bharati (Deemed to be University).

(B) That the thesis submitted by the candidate deserves to be re-submitted in a revised form.

(C) That the thesis submitted by the candidate deserves to be rejected without further assessment.

(D)

**PART – II**

Detailed report of the examiners to be enclosed separately.

**PART – III**

I recommend –

(a) that the thesis be approved for the award of the degree of Doctor of Philosophy.

OR

(b) that the candidate be required to re-submit his/her thesis in a revised form, within the prescribed period, after the communication to him/her on the lines on which the

revision of his/her thesis is recommended.

OR

- (c) that the thesis be rejected without further assessment, and the degree of Doctor of Philosophy be not conferred on the candidate.

Signature of Examiner of the Thesis Name

**(FORM – B)**

**NEHRU GRAM BHARATI (DEEMED TO BE UNIVERSITY)**

**REPORT OF THE EXAMINER OF THE THESIS OF THE CANDIDATE FOR THE Ph.D. DEGREE**

- 1. Name of the Research Scholar

.....

- 2. The Department of .....under the School/Faculty of.....

.....

- 3. Title of the thesis submitted by the Research Scholar

.....

.....

.....

**PART – I**

We have read the thesis for the degree of Doctor of Philosophy submitted by the candidate named above on the topic set out above and perused the reports of the other examiners of the said thesis, and have examined the said Research Scholar viva-voce, and have to report.

- (A) That we are satisfied that the candidate-
  - (i) Is well acquainted with literature on the subject;
  - (ii) evinces a good understanding of the topic of his research and the thesis is genuinely his/her own work; and
  - (iii) has an appropriate comprehension of the broad field of his/her study.

OR

- (B) That the candidate has not satisfied us–  
[Strike out whichever is inapplicable from amongst (i), (ii), (iii), and (iv)]
  - (i) that he/she is well acquainted with literature on the subject,
  - (ii) in respect of the basis of one or more of the conclusions he/she has drawn from the investigations reported in the thesis.
  - (iii) as to the originality of one or more parts of the thesis,
  - (iv) [state any other ground that merits reporting].....

We recommended -

**PART – II**

(Report of the examiners to be enclosed)

**PART – III**

(d) That the degree of the Doctor of Philosophy be conferred on the candidate.

OR

(e) That the degree of the Doctor of Philosophy be not conferred on the research scholar for the time being, and he/she be required to re-appear at the viva-voce examination within the prescribed period.

Signature of the Viva Voce Examiners